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Applicants : Hans Carlsson et al.  
Serial No. : 09/308,435  
Filed : May 19, 1999  
For : VACCINE DELIVERY SYSTEM AND METHOD  
OF PRODUCTION  
Examiner : V. Portner  
Group Art Unit : 1645

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(DE)# 16/2  
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10/11/02

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Richard J. Sterner35,372

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Richard J. SternerOctober 2, 2002

Signature

Date of Signature

AMENDMENT AND RESPONSE

Box AF

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This communication is in response to the final Office  
Action mailed April 4, 2002. Reconsideration is respectfully  
requested in view of the following amendments and remarks.

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stabilizing agents added prior to mixing to stabilize the W/O emulsion in the presence of the solubilizing agent(s) and promote the incorporation of the water insoluble protein within the polymer particles during step (b); and

D<sup>1</sup>  
(b) forming droplets of said W/O emulsion by dispersing the emulsion in a fluid medium, and removing said solvent from the O phase of the W/O emulsion droplets to thereby form the polymer particles incorporating the water insoluble protein antigen.

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D<sup>2</sup>  
16. (Twice Amended) The method of claim 12, wherein the hydrophilic surfactant is a zwitterionic surfactant selected from the group consisting of 3-1-propanesulphonate (CHAPS), 3-[(3-cholamidopropyl)-dimethylammonio]-2-hydroxy-1-propanesulphonate (CHAPSO), N,N-bis-cholamide (BIGCHAP), N,N-bis-deoxycholamide (deoxy BIGCHAP), lyso phosphatidylcholine, alkylbetaines and sulphobetaines.

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D<sup>3</sup>  
18. (Twice Amended) The method of claim 17, wherein the one or more chaotropic agents is/are selected from the group consisting of a perchlorate, thiocyanate, guanidine, chlorate, iodide, bromide, nitrate and urea.

19. (Twice Amended) The method of claim 1 which includes a Double Emulsion (W/O/X) Solvent Evaporation Technique wherein the fluid medium in which the stabilized W/O emulsion is dispersed in step (b) is a liquid phase (X) which is immiscible with the O phase, said method producing a W/O/X

double emulsion comprising W/O droplets from which the solvent is evaporated.

D<sup>3</sup> 20. (Twice Amended) The method of claim 1 which includes a Double Emulsion (W/O/X) Solvent Extraction Technique wherein the fluid medium in which the stabilized W/O emulsion is dispersed in step (b) is a liquid phase (X) which is immiscible with the O phase, said method producing a W/O/X double emulsion comprising W/O droplets, and wherein the removal of the organic solvent from the O phase of the droplets is achieved through extraction by the X phase.

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D<sup>4</sup> 23. (Twice Amended) The method of claim 1, wherein the dispersal of the stabilized W/O emulsion in a fluid medium during polymer formulation in step (b) is achieved with a spray drying technique, wherein the stabilized W/O emulsion is dispersed in a gaseous medium to form a spray of W/O emulsion droplets from which said solvent evaporates.

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24. (Twice Amended) The method of claim 1, wherein the dispersal of the stabilized W/O emulsion in a fluid medium during polymer particle formulation in step (b) is achieved with a fluid gas technique.

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D<sup>5</sup> 32. (Twice Amended) The method of claim 1, wherein the matrix polymer is a homo-or co-polymer selected from one or more of the group consisting of polyesters, polyanhydrides, polyorthoesters, polycarbonates, polyamides, poly(amino acids), polyacetals, polycyanoacrylates, polyacrylates,

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biodegradable polyurethanes, non-erodible polyurethanes,  
polymers of ethylene-vinyl acetate, acyl substituted cellulose  
acetates, polysaccharides, polystyrenes, polyvinyl chloride,  
polyvinyl fluoride, poly(vinyl imidazole), chlorosulphonated  
polyolefins, polyethylene oxide, polyethers and polyoxalates.

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37. (Twice Amended) A vaccine delivery system produced by the  
method of claim 1, wherein the one or more stabilizing agents  
is/are a polymer selected from the group consisting of  
poly(vinyl pyrrolidone), poly(vinyl alcohol), polysaccharides,  
polyethyleneoxide and water soluble proteins, and wherein the  
method includes a Double Emulsion (W/O/X) Solvent Evaporation  
Technique wherein the fluid medium in which the stabilized W/O  
emulsion is dispersed in step (b) is a liquid phase (X) which  
is immiscible with the O phase, said method producing a W/O/X  
double emulsion comprising W/O droplets from which the solvent  
is evaporated.

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27  
45. (Twice Amended) The vaccine delivery system of claim 37,  
wherein the matrix polymer is a homo- or co-polymer selected  
from one or more of the group consisting of polyesters,  
polyanhydrides, polyorthoesters, polycarbonates, polyamides,  
poly(amino acids), polyacetals, polycyanoacrylates,  
polyacrylates, biodegradable polyurethanes, non-erodible  
polyurethanes, polymers of ethylene-vinyl acetate, acyl  
substituted cellulose acetates, polysaccharides, polystyrenes,  
polyvinyl chloride, polyvinyl fluoride, poly(vinyl imidazole),

27 chlorosulphonated polyolefins, polyethylene oxide, polyethers and polyoxalates.

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49. (Twice Amended) The vaccine delivery system of any one of claims 37 and 45-48, wherein the polymer particles have an average diameter of 0.05-20  $\mu\text{m}$  according to the volume size distribution.

50. (Twice Amended) A composition comprising the vaccine delivery system of any one of claims 37 and 45-48.

D8 51. (Twice Amended) A method for the treatment of existing *Helicobacter* infection in a mammalian host, comprising administering to the mammalian host an effective amount of the composition according to claim 50 wherein the water insoluble protein antigen is a *Helicobacter* antigen.

52. (Twice Amended) A method for preventing or reducing the risk of *Helicobacter* infection in a mammalian host, comprising administering to the mammalian host an effective amount of the composition according to claim 50 wherein the water insoluble protein antigen is a *Helicobacter* antigen.

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58. (Amended) A composition comprising the vaccine delivery system of claim 49.

D9 59. (Amended) A method for the treatment of existing *Helicobacter* infection in a mammalian host comprising administering to the mammalian host an effective amount of the

composition according to claim 58 wherein the water insoluble protein antigen is a *Helicobacter* antigen.

60. (Amended) A method for preventing or reducing the risk of *Helicobacter* infection in a mammalian host, comprising administering to the mammalian host an effective amount of the composition according to claim 58 wherein the water insoluble protein antigen is a *Helicobacter* antigen.

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